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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/537,275	03/06/2006	Robert Mark Porter	282545US8XPCT	1131
22850 7590 10/28/2010 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER CHEN, CHIA WEI A	
			ART UNIT 2622	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b> 10/537,275	<b>Applicant(s)</b> PORTER ET AL.	
	<b>Examiner</b> CHIA-WEI A. CHEN	<b>Art Unit</b> 2622	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 16 September 2010.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 29-50 and 54-56 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 29-50 and 54-56 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)                        | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 09/16/2010 has been entered.

### ***Response to Arguments***

2. Applicant's arguments with respect to claims 29-50 and 54-56 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

4. Claims 29, 32-39, 43, 46-50, and 54-56 rejected under 35 U.S.C. 103(a) as being unpatentable over Potts (US 6,593,956) in view of Ike (US 2003/0030735), further in view of Takagi (US 2003/0085997).

Claim 29, Potts teaches a video camera arrangement, in Fig. 3, comprising:

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an image capture device (camera 14) having an associated lens (a lens is inherent in a camera) with an adjustable focus and a zoom setting (col. 6, lines 21-23);

a face detector (video face location module 102) for detecting human faces in images captured by the image capture device and for generating face data identifying detected occurrences of faces in the captured images (video face location module 102 analyzes video signals 24 to detect faces in a single video frame; col. 7, lines 59-61), the face detector being responsive to a zoom setting to obtain an expected face size within the captured images (It is inherent that the face locator detects a face based on the capture image frame which is, in turn, determined by the focus, zoom, or aperture of the optical system of the camera);

a data handling medium by which data representing the captured images is transmitted and/or stored, the data handling medium comprising a storage medium for storing the captured images (video frames 24 are stored as digital data in a memory storage unit; col. 7, lines 47-49) and a metadata store for storing metadata associated with the captured images (track files that correspond to detected faces and stores parameters for that face, as well as track pan, tilt, range values of the camera; col. 12, lines 44, 50-52), the metadata including the face data generated by the face detector and the zoom setting; and

a processor for generating data to be transmitted or stored by the data handling medium in dependence on the detection of faces in the captured images (processor in col. 6, line 67-col. 7, line 10; see also: coder/decoder 30 that compresses the audio and

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video signals and supplies the signals to a network interface 40 which transmits the signals across a telecommunication network 42; col. 6, lines 54-60),

but Potts does not expressly teach that a *lens focus and* a zoom setting are both used to determine a distance of a face from the video camera. Potts also does not expressly teach that the metadata associated with the captured image includes the lens focus and the zoom setting.

Ike teaches that a lens focus and a zoom setting are both used to determine a distance of a face from the video camera (The distance to the object can be determined through the calculation based on the zoom lens position and the focus lens position memorized and the tracking curve described above, and thus the distance to the monitoring target specified by the controller 3 can be determined; paragraphs 0045-0046).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of Ike with that of Potts in order to avoid having an extra rangefinder component in the camera to complicate the device. The system of Ike also has the advantage of keeping an object image in focus at all times, even when zooming. (See abstract of Ike.)

Takagi teaches that stored metadata associated with the captured image includes the lens focus and the zoom setting (paragraph 0159 and 0166).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of Takagi with that of Potts and Ike in order to generate and attach information about the properties of the captured data for archival purposes and the like.

Claim 32, Potts further teaches wherein the metadata store comprises:

a storage device external to the camera arrangement (audio and video signals are transmitted via a telecommunication network 42 to a receiving video conference system; col. 6, lines 56-60);

but does not expressly teach a wireless link between the camera arrangement and the storage device.

However, **OFFICIAL NOTICE** is taken that wireless links, i.e., wireless telecommunications networks are well known and expected in the art. At the time the invention was made, it would have been obvious to a person having ordinary skill in the art to have provided a wireless link between the camera and the receiving storage device in order to remotely access and control the camera in a convenient manner.

Since Applicant has failed to traverse the examiner's assertion that that wireless links, i.e., wireless telecommunications networks are well known and expected in the art, this common knowledge or well-known in the art statement is taken to be admitted prior art.

Claim 33, Potts further teaches:

the face detector (video face location module 102) is operable to detect a probability of a human face being present in each field or frame of the captured video material (Video face location module 102 calculates face segments with spatial luma and temporal luma variances above a predetermined threshold to determine face

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segments that are likely true images of a face; col. 11, lines 14-17, 34-37 and col. 11, line 65-col. 12, line 7); and

the metadata store is operable to store a representation of at least one face from each contiguous sequence of captured video material, that face being the face having the highest associated probability from the contiguous sequence (Based on the above calculations, the face tracking module 106 updates the track files corresponding to the detected faces and stores parameters for those faces; col. 12, lines 35-45).

Claim 34, Potts further teaches the camera arrangement being a unitary device (See Figs. 1-3).

Claim 35, Potts further teaches the data handling medium being operable to store and/or transmit data representing captured audio material associated with the captured video material (coder/decoder 30 compresses the audio and video signals and supplies the signals to a network interface 40 which transmits the signals across a telecommunication network 42; col. 6, lines 54-60).

Claim 36, Potts further teaches a speech detector (audio source locator 28); and in which the face detector is responsive to a detection of speech in the captured audio material (see claim 55 of Potts).

Claim 37, Potts further teaches two or more associated microphones (microphone array 12), the processor and/or face detector being responsive to audio signals from the microphones to identify a face associated with a current speaker (framing module 116 uses audio information to frame a camera shot on a face of a single speaker or a group of speakers; see col. 19, line 10-15).

Claim 38, Potts further teaches logic, responsive to the face detector (frame locator 116 uses determination made by face locator 102), to derive a subset of at least some of the captured images for storage and/or transmission by the data handling medium (frame locator 116 can frame a camera shot to capture a single speaker or a group of speakers to transmit across the telecommunications network; col. 19, lines 29-31).

Claim 39, Potts further teaches wherein the subset comprises a cropped image containing at least each face detected by the face detector (frame locator 116 frames the camera shot to contain currently detected faces; see col. 19, lines 29-31, 34-37, and 44-52).

Claim 43, Potts further teaches wherein the subset, in respect of a captured image, comprises a cropped image representing a single detected face (frame locator 116 can frame a camera shot to capture a single speaker to transmit across the telecommunications network; col. 19, lines 29-31).



Claim 46, Potts further teaches logic, responsive to the face detector, to control the lens zoom and/or direction of the image capture device in dependence upon the face data (The results of the face tracking module 106 are used for framing camera shots to track a moving speaker; col. 12, lines 39-43).

Claim 47, Potts teaches a video conferencing arrangement (col. 6, lines 16-23) including the camera arrangement according to claim 35, comprising two or more video conferencing systems (col. 6, lines 59-60), each system arrangement having an associated display arrangement (It is inherent that a video conferencing system include a display to display at least the video data received from the remote participant.), the data handling medium being a transmission medium (telecommunications network 42) linking the two or more video conferencing systems.

Although Potts does not explicitly teach that both video conferencing systems are of the camera arrangement according to claim 35, it would have been obvious to a person having ordinary skill in the art to have used the camera arrangement taught by Potts to track moving speakers at both ends of the video conferencing.

Claim 48, Potts in view of Ike and Takagi teaches a camera arrangement according to claim 35, but does not expressly teach wherein the camera arrangement is used for security monitoring.

However, it would have been obvious to a person having ordinary skill in the art at the time of invention to have recognized that the camera arrangement of Potts observes and follows a person (col. 12, lines 39-42) and could similarly be used as a security monitoring device to track a moving person in a given area.

Claim 49, Potts teaches a method of operating a video camera arrangement having an image capture device (camera 14) with an associated lens (a lens is inherent in a camera) having an adjustable focus and a zoom setting (col. 6, lines 21-23), a storage medium for storing captured images (video frames 24 are stored as digital data in a memory storage unit; col. 7, lines 47-49) and a metadata store for storing metadata associated with the captured video material (track files that correspond to detected faces and stores parameters for that face, as well as track pan, tilt, range values of the camera; col. 12, lines 44, 50-52), the method comprising the steps of:

detecting human faces the captured images and generating face data identifying detected occurrences of faces in the captured images (video face location module 102 analyzes video signals 24 to detect faces in a single video frame; col. 7, lines 59-61), the face detecting responsive to a zoom setting to determine a distance of a face from the video camera and to obtain an expected face size within the captured images (It is inherent that the face locator detects a face based on the capture image frame which is, in turn, determined by the focus, zoom, or aperture of the optical system of the camera); and

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generating data representing the captured images for storage and/or transmission, in dependence on the face data generated by the face detector (coder/decoder 30 compresses the audio and video signals and supplies the signals to a network interface 40 which transmits the signals across a telecommunication network 42; col. 6, lines 54-60), wherein

metadata stored with the captured images includes the face data generated by the face detecting and the zoom setting (track files that correspond to detected faces and stores parameters for that face, as well as track pan, tilt, range values of the camera; col. 12, lines 44, 50-52),

but Potts does not expressly teach that a *lens focus* and a zoom setting are both used to determine a distance of a face from the video camera. Potts also does not expressly teach that the metadata associated with the captured image includes the lens focus and the zoom setting.

Ike teaches that a lens focus and a zoom setting are both used to determine a distance of a face from the video camera (The distance to the object can be determined through the calculation based on the zoom lens position and the focus lens position memorized and the tracking curve described above, and thus the distance to the monitoring target specified by the controller 3 can be determined; paragraphs 0045-0046).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of Ike with that of Potts in order to avoid having an extra rangefinder component in the camera to complicate the device. The system of Ike also has the

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advantage of keeping an object image in focus at all times, even when zooming. (See abstract of Ike.)

Takagi teaches that stored metadata associated with the captured image includes the lens focus and the zoom setting (paragraph 0159 and 0166).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of Takagi with that of Potts and Ike in order to generate and attach information about the properties of the captured data for archival purposes and the like.

Claims 50 and 54, Potts teaches a computer readable storage medium have program code that when executed performs a method according to claim 49 (modules can be implemented by an appropriately programmed processor; col. 6, line 65-col. 7, line 3). It is inherent in the system of Potts that the instructions must be transferred (read) from the program storage memory to the processor in order to be executed (See also col. 6, line 65-col. 7, line 3).

Although Potts does not expressly teach a network for transmitting program code for execution, **OFFICIAL NOTICE** is taken that networks for transmitting data are well known and expected in the art. At the time the invention was made, it would have been obvious to a person having ordinary skill in the art to have provided a network to transmit data (e.g., code) to be executed.

Claim 55, Potts further teaches wherein the face detector is further responsive to a break between contiguous video shots to reset a face-tracking filter between the

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contiguous video shots (For each start of a new camera move, the track files for tracking faces are initialized to begin a new set of tracking operations. See Fig. 9 and col. 12, line 60-col. 13, line 14.).

Claim 56 is analyzed and rejected as the method claim performing the steps of claim 55.

5. Claims 30 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Potts (US 6,593,956) in view of Ike (US 2003/0030735) and Takagi (US 2003/0085997), further in view of Patton (US 6,408,301).

Claim 30, Potts in view of Ike and Takagi teaches a camera arrangement according to claim 29, but does not expressly teach that the metadata store is arranged to store metadata on the same storage medium as the captured video material.

Patton teaches that the metadata store is arranged to store metadata (metadata associated with a captured image or motion sequence) on the same storage medium (DVD disk 16) as the captured video material (See Fig. 12 and col. 6, lines 42-47 and 60-65).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of Patton with that of Potts in view of Ike and Tagaki in order to provide a system capable of automatically indexing and sorting a plurality of images for a faster and more intuitive user access. (See col. 1, lines 39-67 of Patton.)

Claim 31, Patton teaches that the metadata store comprises a removable storage device connectable to the camera arrangement (the image data is captured on removable media; see col. 3, lines 54-55).

6. Claims 40-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Potts (US 6,593,956) in view of Ike (US 2003/0030735) and Takagi (US 2003/0085997), further in view of Edanami (US 6,297,846).

Claim 40, Potts in view of Ike and Takagi teaches a camera arrangement according to claim 38, but does not teach that subset, in respect of a captured image, comprises a number of cropped images equal to the number of detected faces in that captured image, each cropped image representing one detected face.

Edanami teaches that a captured image (Fig. 19a) comprises a number of cropped images (three cropped images representing of Fig. 19C, D, E) equal to the number of detected faces in that captured image, each cropped image representing one detected face (Fig. 19) (See col. 20, lines 3-10).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of Edanami with that of Potts in view of Ike and Tagaki in order to allow a remote user to choose a particular person to focus on by clicking his/her image in a group shot. This allows a person to more clearly focus on the current speaker or

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another participant at the video conferencing location. (See col. 20, lines 6-10 of Edanami.)

Claim 41, Edanami teaches a user control for selecting display properties of each of the cropped images (operator can choose a particular person to focus on; col. 20, lines 8-10).

Claim 42, Potts teaches that the data handling medium is a transmission medium (telecommunication network 42), but does not expressly teach that the user control relates to a remote node of the transmission medium.

Edanami teaches wherein the display remote from the camera and the captured scene can be manipulated by the user (col. 20, lines 6-10).

It would have been obvious to a person having ordinary skill in the art to have used the teaching of the remote operator of Edanami with the teaching of video conferencing across the telecommunication network of Potts in order to view onscreen the desired remote participant in a videoconferencing system. (See col. 18, lines 33-42 of Edanami.)

7. Claims 44 and 45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Potts (US 6,593,956) in view of Ike (US 2003/0030735) and Takagi (US 2003/0085997), further in view of Kan (US 2003/0035479).

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Claim 44, Potts in view of Ike and Tagaki teaches a camera arrangement according to claim 35, but does not expressly teach: comprising logic to alter a degree of data compression applied to portions of the image in dependence upon whether a face has been detected at those portions.

Kan teaches logic to alter a degree of data compression applied to portions of the image in dependence upon whether a foreground has been detected at those portions (A high compression rate is used in the still background while lower compression rate is used in the moving foreground; paragraph 0008).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used the teaching of Kan with that of Potts in view of Ike and Tagaki in order to reduce amount of data compressed and transferred (See paragraph 0008 of Kan.).

Claim 45, Kan teaches that an apparatus operable to apply a harsher data compression to portions of a captured image not detected to contain a foreground (see paragraph 0008). It would have been obvious to a person having ordinary skill in the art to have recognized that the face of a moving speaker (taught by Potts) is the foreground of a captured image.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHIA-WEI A. CHEN whose telephone number is



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(571)270-1707. The examiner can normally be reached on Monday - Friday, 7:30 - 17:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lin Ye can be reached on (571) 272-7372. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Lin Ye/  
Supervisory Patent Examiner, Art Unit 2622

/C. A. C./  
Examiner, Art Unit 2622